

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau(43) International Publication Date
27 September 2001 (27.09.2001)(10) International Publication Number
WO 01/71016 A1

PCT

(51) International Patent Classification: C12N 15/83; (74) Agent: SHERWOOD, Pamela, J., Rozicovic, Field & Francis LLP, Suite 200, 300 Middlefield Road, Menlo Park, CA 94025 (US).

(21) International Application Number: PCT/US01/09460

(22) International Filing Date: 21 March 2001 (21.03.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Date: 23 March 2000 (23.03.2000) US
60/191,609
60/221,805 31 July 2000 (31.07.2000) US

(71) Applicant (for all designated States except US): STEM-CELLS, INC. (US/US); 525 Del Rey Avenue, Suite C, Sunnyvale, CA 94086 (US).

Published:

— with international search report

(72) Inventors; and
(75) Inventors/Applicants (for US only): LAGASSE, Eric (FR/US); 985 Oregon Avenue, Palo Alto, CA 94303 (US); WEISSMAN, Irving, L. (US/US); 4147 Jefferson Avenue, Redwood City, CA 94061 (US).

For two-letter codes and other abbreviations, refer to the "Guide once Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, ES, FI, GB, GD, GR, GT, HK, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MY, NZ, NI, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SI, TR), OAPI patent (BF, BJ, CI, CG, CI, CM, GA, GN, GW, ML, MR, NI, SN, TD, TO).

PLURIPOTENTIAL STEM CELLS

INTRODUCTION

As embryos develop, cells derived from the embryonic germ layers become committed to a particular differentiation pathway, acquiring specific morphologies and patterns of gene expression that permit them to fulfill their designated roles. But the capacity for growth and differentiation is not entirely lost in adults. Even differentiated organs and tissues can sometimes be regenerated from stem cells that reside in the tissues.

The most common definition of stem cells references their ability for self-renewal. Stem cells are defined as cells having the ability both to divide and give rise to more stem cells, and also to give rise to differentiated cells, often differentiating into multiple pathways. The archetype of a stem cell is the embryonic stem cell. Usually derived from early stage embryos, in the appropriate conditions these totipotent cells can give rise to all of the adult tissues, including germ cell line cells.

Tissue specific stem cells are generally believed to have a developmental commitment to a class of cells, and are usually localized to specific sites in the corresponding organ. As an example, hematopoietic stem cells are found in high numbers in bone marrow. They give rise to all of the blood cells, including monocytes, erythrocytes and lymphocytes. Epidermal stem cells are localized below the skin, and differentiate into epidermal cells. Depending on their pattern of migration, neural crest stem cells differentiate into peripheral nervous system neurons, glia, and melanocytes. Others can form astrocytes, oligodendrocytes and neurons.

More than one type of stem cell can be found in some organs. Bone marrow contains both mesenchymal and hematopoietic stem cells. The mesenchymal stem cells give rise to adipocytic, chondrocytic and osteocytic lineages, including the stromal cells of bone marrow (see Pittenger *et al.* (1999) *Science* 284:143-147). The hematopoietic stem cells, on the contrary, have been found to give rise to myeloid, lymphocytic and erythrocytic lineages.

The ability to culture somatic stem cells holds great promise for therapy in diseases involving destruction or degeneration of tissues, such as spinal cord injuries, Parkinson's disease, liver disease, the generation of insulin-producing islet cells, and many others. For these reasons the isolation, characterization and manipulation of stem cells is of great interest.

(54) Title: PLURIPOTENTIAL STEM CELLS

(57) Abstract: Cells of multiple non-hematopoietic lineages are generated from hematopoietic stem cells. The stem cells are obtained from a variety of sources, including fetal and adult tissues. The cells are useful in transplantation, for experimental evaluation, and as a source of lineage and cell specific products, including mRNA species useful in identifying genes specifically expressed in these cells, and as targets for the discovery of factors or molecules that can affect them.

BEST AVAILABLE COPY

Relevant Literature

Hematopoietic stem cells (HSCs) have been rigorously and directly identified. In the BA/Thy1.1 mouse strain, HSCs represent a rare population of 0.01% of whole bone marrow and have been isolated using the combination of cell surface markers: Thy^{lo}Lin^{neg}Sca1⁺c-kit^{high}. Descriptions and reviews may be found in Ikuta and Weissman (1992) Proc Natl Acad Sci 89(4):1502-6; Ikuta *et al.* (1992) Annu Rev Immunol. 10:759-83; Spangrude and Johnson (1990) Proc Natl Acad Sci 87(19):7433-7; and Spangrude *et al.* (1988) Science 241(4861):58-62.

Reports have been published indicating that bone marrow cells may be a source of unexpected tissues, such as myocytes, skeletal muscle and most recently hepatocytes. In an example, Bruder *et al.* (1998) Clin Orthop (355 Suppl):S247-56 report that bone marrow contains a population of rare progenitor cells capable of differentiating into bone, cartilage, muscle, tendon, and other connective tissues. These cells, referred to as mesenchymal stem cells, can be purified and expanded in culture from animals and humans. Petersen *et al.* (1999) Science 284:1168-1170, discloses bone marrow as a potential source of hepatic oval cells. These *et al.* (2000) Hepatology 31:235-240 discuss the possible derivation of hepatocytes from bone marrow cells in mice after radiation-induced myeloablation.

Mammalian hematopoietic stem cells are described in U.S. Patent no. 5,087,570, Weissman *et al.*, issued February 11, 1992. Human hematopoietic stem cells are described in U.S. Patent no. 5,061,620, Tsukamoto *et al.*, issued October 29, 1991, herein incorporated by reference.

SUMMARY OF THE INVENTION

Methods are provided for the generation of a variety of non-hematopoietic tissues from pluripotential hematopoietic stem cells, including tissues derived from multiple different germ layers, *i.e.* mesodermal, endodermal and ectodermal. The stem cells are obtained from a variety of sources, including fetal and adult tissues. The cells are useful in transplantation, for experimental evaluation, and as a source of lineage and cell specific products, including mRNA species useful in identifying genes specifically expressed in these cells, and as targets for the discovery of factors or molecules that can affect them. *In vitro* and *in vivo* systems comprising functional non hematopoietic cells derived from hematopoietic stem cells find use in screening agents that affect these cell types, *e.g.* in investigating drug metabolism and toxicity, and the like.

BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1A to 1C: isolation of mouse hematopoietic stem cells (HSCs) and expression of CD45 by FACS. A, Phenotypic analysis of bone marrow cells and the different restricted gates used to sort HSCs. B, Analysis of the sorted HSCs (KTLS). HSCs were sorted a second time directly into endpoint for transplantation. C, CD45 analysis of the sorted HSCs.

Figure 2: Hematopoietic engraftment 6 months after 1000 HSC transplanted: blood, spleen and bone marrow cells were treated with FDG, and lineage markers Gr-1 for neutrophils, B220 for B cells and Mac-1 and CD3 for myeloid and T cells, respectively. The histograms on the left represent the percentage of donor-derived FDG positive hematopoietic cells. The FACS plots display gates with percentages of donor (right) versus recipient (left) neutrophils and B-cells.

Figures 3A to 3B: Separation of bone marrow cells using HSC markers. A, Phenotypic analysis of bone marrow cells from Rosa26/C57Bl mice. Density plots of lineage markers, Sca-1 and c-kit staining are shown. The percentages in each panel indicate negative or positive cell fractions defined by the gates used for HSC sort. B, Analysis of the sorted bone marrow cells. Lin⁻Sca-1⁺ and c-kit^{hi} from adult male Rosa26/C57Bl mice are separated from Lin⁺Sca-1⁺ and c-kit⁺, respectively.

Figure 4 is a schematic illustrating a protocol for engraftment in a non-irradiated host animal.

Figure 5 is a graph depicting the fluctuation in weight for mice engrafted with hematopoietic stem cells and periodically selected for FAH positive hepatocytes.

DESCRIPTION OF THE SPECIFIC EMBODIMENTS

A population of cells having the phenotype of mammalian hematopoietic stem cells (HSCs) have pluripotent developmental potential, and can give rise to cells of multiple hematopoietic and non-hematopoietic lineages including hepatocytes, where hematopoietic lineages is intended to have its conventional meaning, *i.e.* blood cells of the erythroid, myeloid and lymphoid lineages. These pluripotential cells have the ability to home to and regenerate multiple tissues *in vivo*.

Such pluripotent stem cells may differentiate into intermediate progenitor cell populations of a variety of cell types, including hematopoietic, hepatic, nervous system, muscle, epidermal, and endodermal cells, through *in vitro* or *in vivo* induction. The HSCs are useful in transplantation to provide a recipient with restoration of organ functions; for drug screening; experimental models of development; *in vitro* screening assays to define growth and differentiation factors, and to characterize genes involved in development and

regulation; and the like. The native cells may be used for these purposes, or they may be genetically modified to provide altered capabilities.

Before the methods and compositions of the present invention are described and disclosed it is to be understood that this invention is not limited to the particular methods and compositions described, and as such may, of course, vary. It is also understood that the terminology used herein is for the purposes of describing particular embodiments only, and is not intended to be limiting since the scope of the present invention will be limited only by the appended claims.

It must be noted that as used in this specification and the appended claims, the singular forms "a," "an," and "the" include plural references unless the context clearly indicates otherwise. Thus, for example, reference to a "pluripotent stem cell" include multiple pluripotent stem cells.

Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Although any materials or methods similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred methods and materials are now described. All publications mentioned herein are incorporated herein by reference for the purpose of describing and disclosing the particular information for which the publication was cited. The publications discussed above are provided solely for their disclosure prior to the filing date of the present application. Nothing herein is to be construed as an admission that the invention is not entitled to antedate such disclosure by virtue of prior invention.

DEFINITIONS

"*Pluripotent stem cell*" refers to cells that are capable of self-regeneration during propagation, and which have the capacity *in vitro* or *in vivo* to differentiate into multiple different lineage committed cells that further proliferate and terminally differentiate. Pluripotent stem cells are distinguished from specialized stem cells by having the potential for producing multiple cell types, of different classes. Such pluripotent cells can regenerate tissues of different embryonic origins, including mesodermal, ectodermal and endodermally derived tissues.

In the specific methods of the present invention, pluripotent stem cells of interest have the surface phenotype of a hematopoietic stem cell, which phenotype has been previously described in the art, and may be referred to as pluripotent HSC. In one embodiment of the invention the cells are isolated from hematopoietic tissues, e.g. bone

marrow, mobilized peripheral blood, fetal liver, and the like. In another embodiment, the cells are isolated from non-hematopoietic tissues, e.g. non-fetal liver, pancreatic tissues, muscle tissues, etc.

The pluripotent cells of the invention may be cultured or grown *in vivo* in environments that enhance the ability of the cells to differentiate into non-hematopoietic lineages.

By the terms "*controlling*" and "*directing*" differentiation of pluripotent stem cells, it is meant that the manipulation of differentiation of pluripotent stem cells into specifically desired cell lineages through the control of culture conditions, or the localization of the cells *in vivo* to provide for a desired microenvironment. This process may also be referred to as "*inducing*" or "*induction*" of differentiation, by causing the differentiation of cultured cells through additions, depletions, or other modifications of their environment, so as to bring about directed changes in the morphological or biochemical properties of the cells.

In one embodiment of the invention, the methods exclude methods of inducing or controlling the differentiation of pluripotent hematopoietic stem cells into cells of mesodermal derivation, which cells include hematopoietic cells and muscle cells.

The pluripotent hematopoietic cells of the invention may differentiate into one or more of the cell types present in an organ, e.g. liver cells, including hepatocytes; kidney cells, including glomerular, interstitial and tubular epithelium of the kidney, pancreatic cells, including the hormone-producing cells in islets (4 different cell types), the exocrine zymogen-containing acini, and the centroacinar cells, ductules and ducts; bone cells, including osteoblasts, osteoclasts, chondrocytes; skin cells, including epidermal cells; nervous tissue, including neurons, glial cells, schwann cells, astrocytes, etc.; muscle cells, including myocytes; and the like. Tissues of interest include neural, glial, astrocyte, hepatocyte, endocrine, skeletal muscle, smooth muscle, cardiac muscle, bone, cartilage, fat, peripheral nerves, ganglia, structural connective tissue, tendon, tendon sheaths, ligament, heart, liver, pancreas, gall bladder, stomach, intestine, kidney, adrenal gland, urinary bladder, brain, spinal cord, ovary, uterus, fallopian tubes, testes, vas deferens, prostate gland, seminal vesicles, lung, thymus, lymph nodes, blood vessels, skin, sensory end organs, nails, sebaceous glands, sweat glands, etc.

Hematopoietic stem cells: Pluripotent hematopoietic stem cells may be isolated from a variety of sources, including fetal, neonatal, juvenile or adult tissues. The stem cells may be obtained from any mammalian species, e.g. equine, bovine, porcine, canine, feline,

rodent, e.g. mice, rats, hamster, primates, including human; etc. The tissue may be frozen and maintained at below about -20°C, usually at about liquid nitrogen temperature (-180°C).

As used herein, a pluripotent hematopoietic stem cell (HSC) refers to a primitive or pluripotential stem cell that is capable of giving rise to progeny in both (a) non-hematopoietic cell lineages, which may include one or more of the cells and organs listed above, and (b) can give rise to all defined hematolymphoid lineages. Limiting numbers of stem cells are capable of fully reconstituting lethally irradiated mice, leading to their long-term survival.

In humans, the CD34⁺ Thy-1⁺ Lin⁻ hematopoietic stem cells are the equivalent of the murine c-kit⁺ Thy-1⁺ Lin⁻ Sca-1⁺ (KLS) hematopoietic stem cells and are a virtually pure population of multilineage hematopoietic stem cells. Human HSCs may be further characterized as AC133 positive; CD38 negative/low; and negative for the specific lineage markers CD2, CD3, CD19, CD16, CD14, CD15, and Glycophorin A. Usually the cell populations used in the present methods are at least about 50% of the cells present having the hematopoietic stem cell phenotype, more usually at least about 75% of the cells present, preferably at least about 85% of the cells present, and may be as high as about 95% of the cells present.

Initial studies have suggested that CD34⁺ bone marrow cells are enriched for pluripotent hematopoietic stem cells (U.S. Pat. No. 5,035,994). U.S. Pat. No. 5,061,620 to Tsukamoto *et al.* states that B cell and myeloid cell progenitors make up 80-90% of the CD34⁺ cell population. Terstappen *et al.* (1992) Blood 79:666-677, has suggested that CD34 antigenic density decreases with maturation of hematopoietic cells and increased CD38 cell population. Further studies have shown that CD34 expression is not limited to pluripotent stem cells. When CD34 expression is combined with selection for Thy-1, a composition comprising fewer than 5% of lineage committed cells can be isolated (U.S. Pat. No. 5,061,620). However, recent evidence has suggested that murine hematopoietic stem cells may lack expression of CD34 in the quiescent state (see Goodell *et al.* (1999) Blood 8:2545-2547).

Methods of determining the presence or absence of a cell surface marker are well known in the art. Typically, labeled antibodies specifically directed to the marker are used to identify the cell population. The antibodies can be conjugated to other compounds including, but not limited to, enzymes, magnetic beads, colloidal magnetic beads, haptens, fluorochromes, metal compounds, radioactive compounds or drugs. The enzymes that can be conjugated to the antibodies include, but are not limited to, alkaline phosphatase, peroxidase, urease and beta-galactosidase. The fluorochromes that can be conjugated to the antibodies include, but are not limited to, fluorescein isothiocyanate,

tetramethylrhodamine isothiocyanate, phycoerythrin, allophycocyanins and Texas Red. For additional fluorochromes that can be conjugated to antibodies see Haugland, R. P., Molecular Probes: Handbook of Fluorescent Probes and Research Chemicals (1992-1994).

The metal compounds that can be conjugated to the antibodies include, but are not limited to, ferritin, colloidal gold, and particularly, colloidal superparamagnetic beads. The haptens that can be conjugated to the antibodies include, but are not limited to, biotin, digoxigenin, oxazalone, and nitrophenol. The radioactive compounds that can be conjugated or incorporated into the antibodies are known to the art, and include but are not limited to technetium 99m (^{99m}Tc), ¹²⁵I, and amino acids comprising any radionuclides, including, but not limited to, ¹⁴C, ³H and ³⁵S. Labeled factors that bind to receptors of interest, e.g. GF-R, are also of interest.

Reagents specific for the human cell surface markers Thy-1 and CD-34 are known in the art and readily available from commercial sources. The murine markers c-kit, Thy-1, and Sca-1 have also been described in the literature and can be detected with readily available reagents.

Lin⁻ refers to cells that are lineage negative, i.e., cells lacking markers such as those associated with T cells (such as CD2, 3, 4 and 8), B cells (such as CD5, CD10, 19 and 20), myeloid cells (such as CD14, 15 and 16), natural killer ("NK") cells (such as CD2, 16 and 56, NK1.1 for murine cells), RBC (such as glycophorin A, Ter119 for murine cells), megakaryocytes (CD41), mast cells, eosinophils or basophils. Methods of negative selection are known in the art. The absence or low expression of such lineage specific markers may be identified by the lack of binding of antibodies specific to the cell specific markers. Preferably the lineage specific markers include, but are not limited to, at least one of CD2, CD14, CD15, CD16, CD19, CD20, CD38, HLA-DR and CD71; more preferably, at least CD14 and CD15. As used herein, Lin⁻ refers to a cell population selected based on the lack of expression of at least one lineage specific marker. Antibodies specific to lineage specific markers are commercially available from various vendors, e.g. Becton Dickinson, Caltag, AMAC and the ATCC.

Ex vivo and *in vitro* cell populations useful as a source of stem cells include, but are not limited to, cell populations obtained from bone marrow, both adult and fetal, mobilized peripheral blood (MPB), fetal liver and umbilical cord blood. The use of umbilical cord blood is discussed, for instance, in Issaragrisri *et al.* (1995) N. Engl. J. Med. 332:367-369. Initially, bone marrow cells can be obtained from a source of bone marrow, including but not limited to, ileum, i.e. from the hip bone via the iliac crest, tibia, femora, vertebrae, or other bone cavities. Other sources of stem cells include, but are not limited to, embryonic yolk sac, fetal liver, and fetal spleen. The methods can include further enrichment or purification

procedures or steps for stem cell isolation by positive selection for other stem cell specific markers.

It may be desirable to enrich for the CD34⁺ Thy-1⁺ Lin⁻ cell composition prior to cell transfer or culture. Preferably, the cell population is initially subjected to negative selection techniques to remove those cells that express lineage specific markers and retain those cells which are lineage negative ("Lin⁻").

Various techniques can be employed to separate the cells by initially removing cells of dedicated lineage. Monoclonal antibodies are particularly useful for identifying markers associated with particular cell lineages and/or stages of differentiation. The antibodies can be attached to a solid support to allow for crude separation. The separation techniques employed should maximize the retention of viability of the fraction to be collected. Various techniques of different efficacy can be employed to obtain "relatively crude" separations. Such separations are up to 10%, usually not more than about 5%, preferably not more than about 1%, of the total cells present not having the marker can remain with the cell population to be retained. The particular technique employed will depend upon efficiency of separation, associated cytotoxicity, ease and speed of performance, and necessity for sophisticated equipment and/or technical skill.

Procedures for separation can include, but are not limited to, physical separation, magnetic separation, using antibody-coated magnetic beads, affinity chromatography, cytotoxic agents joined to a monoclonal antibody or used in conjunction with a monoclonal antibody, including, but not limited to, complement and cytotoxins, and "panning" with antibody attached to a solid matrix, e.g., plate, elutriation or any other convenient technique.

The use of physical separation techniques include, but are not limited to, those based on differences in physical (density gradient centrifugation and counter-flow centrifugal elutriation), cell surface (lectin and antibody affinity), and vital staining properties (mitochondria-binding dye rho123 and DNA-binding dye Hoechst 33342). These procedures are well known to those of skill in this art.

Preferred techniques that provide accurate separation include, but are not limited to, flow cytometry, which can have varying degrees of sophistication, e.g., a plurality of color channels, low angle and obtuse light scattering detecting channels, impedance channels, etc. Cells also can be selected by flow cytometry based on light scatter characteristics, where stem cells are selected based on low side scatter and low to medium forward scatter profiles. Cytospin preparations show the enriched stem cells to have a size between mature lymphoid cells and mature granulocytes.

Methods for mobilizing stem cells into the peripheral blood are known in the art and generally involve treatment with chemotherapeutic drugs, cytokines (e.g. GM-CSF, G-CSF

or IL3), or combinations thereof. Typically, apheresis for total white cells begins when the total white cell count reaches 500-2000 cells/ μ l and the platelet count reaches 50,000/ μ l.

The present methods are useful in the development of an *in vitro* or *in vivo* model for cellular, e.g. hepatocyte functions and are also useful in experimentation on gene therapy and for artificial organ construction. The developing stem cells serve as a valuable source of novel growth factors and pharmaceuticals, and for the production of viruses or vaccines, as well as for the study of pathogens having specificity for a particular tissue, for example malaria and hepatitis infection of hepatocytes, for *in vitro* toxicity and metabolism testing of drugs and industrial compounds, for gene therapy experimentation, for the construction of artificial organs and tissues, and for mutagenesis and carcinogenesis studies.

An assay of interest for determining the *in vivo* capability of hepatic progenitor cells is an animal model of hereditary tyrosinemia type 1, a severe autosomal recessive metabolic disease which affects the liver and kidneys and which is caused by deficiency of fumarylacetoacetate hydrolase (FAH). Treatment of mice homozygous for the FAH gene disruption (FAH^{-/-}) with 2-(2-nitro-4-trifluoro-methylbenzoyl)-1,3-cyclohexanedione (NTBC) abolishes neonatal lethality and corrects liver and kidneys functions. The animal model is described, for example, by Grompe *et al.* (1995) *Nature Genetics* 10:453-460; Overturn *et al.* (1996) *Nat. Genet.* 12(3):266-73; *etc.* The FAH knockout animal may be immunodeficient, e.g. RAG^{-/-}; SCID, nude, *etc.*

In one embodiment of the invention, an FAH mouse is reconstituted with human hematopoietic stem cells, in order to provide a chimeric animal useful for screening agents that affect human hepatic cells. The human hematopoietic cells may be introduced into the mouse by any convenient means. For example, the human cells may be introduced into the mouse, which may be an irradiated mouse, and allowed to first reconstitute the bone marrow and other hematopoietic organs, then after reconstitution of hematopoiesis, NTBC is withdrawn in order to select for hepatic reconstitution. Alternatively, NTBC may be withdrawn immediately after introduction of the hematopoietic stem cells. The reconstituted animals are useful for screening vaccines and antiviral agents against hepatic viruses, e.g. Hepatitis A, B, C, D, E; metabolic and toxicity testing of biologically active agents; and the like.

The enriched cell population may also be grown *in vitro* under various culture conditions. Culture medium may be liquid or semi-solid, e.g. containing agar, methylcellulose, *etc.* The cell population may be conveniently suspended in an appropriate nutrient medium, such as Iscove's modified DMEM or RPMI-1640, normally supplemented

with fetal calf serum (about 5-10%), L-glutamine, a thiol, particularly 2-mercaptoethanol, and antibiotics, e.g. penicillin and streptomycin.

Cultures of interest for promoting and maintaining the pluripotent nature of the cells may comprise factors including leukemia inhibitory factor (LIF), oncostatin M; IL-6/IL-6 receptor soluble complex; colony stimulating factor-1 (CSF-1), steel factor (c-kit ligand), and ciliary neurotrophic factor (CNTF). The use of LIF is of particular interest. Generally, such maintenance growth factors are supplied in a concentration range of about 100-10,000 U/ml. Generally cultures designed for the maintenance of pluripotency will comprise feeder layers of fibroblasts or stromal cells, as is known in the art. Suitable cultures for murine and human embryonic stem cells are known in the art for maintenance on highly primitive cell populations.

In one embodiment, cultures for maintaining the pluripotent nature of the cells are serum-free. For example, see the culture conditions set forth in Wiles *et al.* (1997) Leukemia 11 Suppl 3:454-6; Goldsborough *et al.* (1998) Gibco Focus 20:8 (herein incorporated by reference).

The differentiation into intermediate stem cells or terminally differentiated cells is achieved through exposure to differentiative growth factors, including erythropoietin, bone morphogenetic protein(s), epidermal growth factor(s), and fibroblast growth factor(s). Such cultures may utilize medium that is depleted in stem cell maintenance growth factors, including human LIF, CNTF, CSF-1, steel factor, etc.

Growth factors, as defined herein, are molecules capable of promoting survival, growth and/or differentiation of cells, either in culture or in the intact tissue, through specific effects on a transmembrane receptor. Growth factors include polypeptides and non-polypeptide factors. Specific growth factors that may be used in culturing the subject cells. The particular growth factor is selected based on the cell type of interest. Certain growth factors have pleiotomorphic effects, for example epidermal growth factors (EGF) and related ligands; TGF α ; acidic FGF (see JBC vol 132, 1133-1149, 1996); etc. Other growth factors are more tissue specific.

The differentiation into intermediate stem cells or terminally differentiated cells is achieved through exposure to differentiative growth factors, including erythropoietin, bone morphogenetic protein(s), epidermal growth factor(s), and fibroblast growth factor(s). Such cultures may utilize medium that is depleted in stem cell maintenance growth factors, including human LIF, CNTF, CSF-1, steel factor, etc.

The specific culture conditions are chosen to achieve a particular purpose, i.e. maintenance of progenitor cell activity, etc. In addition to, or instead of growth factors, the

subject cells may be grown in a co-culture with stromal or feeder layer cells. Feeder layer cells suitable for use in the growth of progenitor cells are known in the art.

The subject co-cultured cells may be used in a variety of ways. The nutrient medium, which is a conditioned medium, may be isolated at various stages and the components analyzed for fractions that affect progenitor cell growth and differentiation. Separation can be achieved with HPLC, reversed phase-HPLC, gel electrophoresis, isoelectric focusing, dialysis, or other non-degradative techniques, which allow for separation by molecular weight, molecular volume, charge, combinations thereof, or the like. One or more of these techniques may be combined to enrich further for specific fractions that promote progenitor cell activity.

The stem/progenitor cells may be used in conjunction with a culture system in the isolation and evaluation of factors associated with the differentiation and maturation of various cell types. Thus, the pluripotent HSCs may be used in assays to determine the activity of media, such as conditioned media, evaluate fluids for growth factor activity, involvement with formation of specific structures, or the like.

Progenitor cells derived from HSC may be used for reconstitution of organ function in a recipient. Allogeneic cells may be used for progenitor cell isolation and subsequent transplantation.

Genes may be introduced into the pluripotent HSC prior to culture or transplantation for a variety of purposes, e.g. prevent or reduce susceptibility to infection, replace genes having a loss of function mutation, etc. Alternatively, vectors are introduced that express antisense mRNA or ribozymes, thereby blocking expression of an undesired gene. Other methods of gene therapy are the introduction of drug resistance genes to enable normal progenitor cells to have an advantage and be subject to selective pressure, for example the multiple drug resistance gene (MDR), or anti-apoptosis genes, such as bcl-2. Various techniques known in the art may be used to transfect the target cells, e.g. electroporation, calcium precipitated DNA, fusion, transfection, lipofection and the like. The particular manner in which the DNA is introduced is not critical to the practice of the invention.

Many vectors useful for transferring exogenous genes into mammalian cells are available. The vectors may be episomal, e.g. plasmids, virus derived vectors such as cytomegalovirus, adenovirus, etc., or may be integrated into the target cell genome, through homologous recombination or random integration, e.g. retrovirus derived vectors such as MMLV, HIV-1, ALV, etc. For examples of progenitor and stem cell genetic alteration, see Svendsen *et al.* (1999) Trends Neurosci. 22(8):357-64; Krawetz *et al.* (1999) Gene 234(1):1-9; Pellegrini *et al.* Med Biol Eng Comput. 36(6):778-90; and Alison (1998) Curr Opin Cell Biol. 10(6):710-5.

To prove that one has genetically modified progenitor cells, various techniques may be employed. The genome of the cells may be restricted and used with or without amplification. The polymerase chain reaction; gel electrophoresis; restriction analysis; Southern, Northern, and Western blots; sequencing; or the like, may all be employed. The cells may be grown under various conditions to ensure that the cells are capable of differentiation while maintaining the ability to express the introduced DNA. Various tests *in vitro* and *in vivo* may be employed to ensure that the pluripotent capability of the cells has been maintained.

The HSCs and/or progenitor cells derived therefrom may be administered in any physiologically acceptable medium, normally intravascularly, including intravenous delivery although they may also be introduced into other convenient sites, where the cells may find an appropriate site for regeneration and differentiation. Usually, at least $1 \times 10^5/\text{Kg}$ cells will be administered, more usually at least about $1 \times 10^6/\text{Kg}$, preferably $1 \times 10^6/\text{Kg}$ or more. The cells may be introduced by injection, catheter, or the like.

The subject cells are useful for *in vitro* assays and screening to detect factors that are active on progenitor cells. A wide variety of assays may be used for this purpose, including immunoassays for protein binding; determination of cell growth, differentiation and functional activity; production of hormones; and the like.

Of particular interest is the examination of gene expression in the stem cell derived non-hematopoietic progenitor cells and mature cells. The expressed set of genes may be compared with a variety of cells of interest, e.g. stem cells, hematopoietic cells, etc., as known in the art. For example, one could perform experiments to determine the genes that are regulated during development.

Any suitable qualitative or quantitative methods known in the art for detecting specific mRNAs can be used. mRNA can be detected by, for example, hybridization to a microarray, *in situ* hybridization in tissue sections, by reverse transcriptase-PCR, or in Northern blots containing poly A⁺ mRNA. One of skill in the art can readily use these methods to determine differences in the size or amount of mRNA transcripts between two samples. For example, the level of particular mRNAs in progenitor cells is compared with the expression of the mRNAs in a reference sample, e.g. differentiated cells.

Any suitable method for detecting and comparing mRNA expression levels in a sample can be used in connection with the methods of the invention. For example, mRNA expression levels in a sample can be determined by generation of a library of expressed sequence tags (ESTs) from a sample. Enumeration of the relative representation of ESTs within the library can be used to approximate the relative representation of a gene transcript within the starting sample. The results of EST analysis of a test sample can then be

compared to EST analysis of a reference sample to determine the relative expression levels of a selected polynucleotide, particularly a polynucleotide corresponding to one or more of the differentially expressed genes described herein.

Alternatively, gene expression in a test sample can be performed using serial analysis of gene expression (SAGE) methodology (Velculescu et al., *Science* (1995) 270:484). SAGE involves the isolation of short unique sequence tags from a specific location within each transcript. The sequence tags are concatenated, cloned, and sequenced. The frequency of particular transcripts within the starting sample is reflected by the number of times the associated sequence tag is encountered with the sequence population.

Gene expression in a test sample can also be analyzed using differential display (DD) methodology. In DD, fragments defined by specific sequence delimiters (e.g., restriction enzyme sites) are used as unique identifiers of genes, coupled with information about fragment length or fragment location within the expressed gene. The relative representation of an expressed gene with a sample can then be estimated based on the relative representation of the fragment associated with that gene within the pool of all possible fragments. Methods and compositions for carrying out DD are well known in the art, see, e.g., U.S. 5,776,683; and U.S. 5,807,680.

Alternatively, gene expression in a sample using hybridization analysis, which is based on the specificity of nucleotide interactions. Oligonucleotides or cDNA can be used to selectively identify or capture DNA or RNA of specific sequence composition, and the amount of RNA or cDNA hybridized to a known capture sequence determined qualitatively or quantitatively, to provide information about the relative representation of a particular message within the pool of cellular messages in a sample. Hybridization analysis can be designed to allow for concurrent screening of the relative expression of hundreds to thousands of genes by using, for example, array-based technologies having high density formats, including filters, microscope slides, or microchips, or solution-based technologies that use spectroscopic analysis (e.g., mass spectrometry). One exemplary use of arrays in the diagnostic methods of the invention is described below in more detail.

Hybridization to arrays may be performed, where the arrays can be produced according to any suitable methods known in the art. For example, methods of producing large arrays of oligonucleotides are described in U.S. 5,134,854, and U.S. 5,445,934 using light-directed synthesis techniques. Using a computer controlled system, a heterogeneous array of monomers is converted, through simultaneous coupling at a number of reaction sites, into a heterogeneous array of polymers. Alternatively, microarrays are generated by

deposition of pre-synthesized oligonucleotides onto a solid substrate, for example as described in PCT published application no. WO 95/35505.

Methods for collection of data from hybridization of samples with an arrays are also well known in the art. For example, the polynucleotides of the cell samples can be generated using a detectable fluorescent label, and hybridization of the polynucleotides in the samples detected by scanning the microarrays for the presence of the detectable label. Methods and devices for detecting fluorescently marked targets on devices are known in the art. Generally, such detection devices include a microscope and light source for directing light at a substrate. A photon counter detects fluorescence from the substrate, while an x-y translation stage varies the location of the substrate. A confocal detection device that can be used in the subject methods is described in U.S. Patent no. 5,631,734. A scanning laser microscope is described in Shalon *et al.*, *Genome Res.* (1996) 6:639. A scan, using the appropriate excitation line, is performed for each fluorophore used. The digital images generated from the scan are then combined for subsequent analysis. For any particular array element, the ratio of the fluorescent signal from one sample is compared to the fluorescent signal from another sample, and the relative signal intensity determined.

Methods for analyzing the data collected from hybridization to arrays are well known in the art. For example, where detection of hybridization involves a fluorescent label, data analysis can include the steps of determining fluorescent intensity as a function of substrate position from the data collected, removing outliers, i.e. data deviating from a predetermined statistical distribution, and calculating the relative binding affinity of the targets from the remaining data. The resulting data can be displayed as an image with the intensity in each region varying according to the binding affinity between targets and probes.

Pattern matching can be performed manually, or can be performed using a computer program. Methods for preparation of substrate matrices (e.g., arrays), design of oligonucleotides for use with such matrices, labeling of probes, hybridization conditions, scanning of hybridized matrices, and analysis of patterns generated, including comparison analysis, are described in, for example, U.S. 5,800,992.

In another screening method, the test sample is assayed at the protein level. Diagnosis can be accomplished using any of a number of methods to determine the absence or presence or altered amounts of a differentially expressed polypeptide in the test sample. For example, detection can utilize staining of cells or histological sections (e.g., from a biopsy sample) with labeled antibodies, performed in accordance with conventional methods. Cells can be permeabilized to stain cytoplasmic molecules. In general, antibodies that specifically bind a differentially expressed polypeptide of the invention are

added to a sample, and incubated for a period of time sufficient to allow binding to the epitope, usually at least about 10 minutes. The antibody can be detectably labeled for direct detection (e.g., using radioisotopes, enzymes, fluorescers, chemiluminescers, and the like), or can be used in conjunction with a second stage antibody or reagent to detect binding (e.g., biotin with horseradish peroxidase-conjugated avidin, a secondary antibody conjugated to a fluorescent compound, e.g. fluorescein, rhodamine, Texas red, etc.). The absence or presence of antibody binding can be determined by various methods, including flow cytometry of dissociated cells, microscopy, radiography, scintillation counting, etc. Any suitable alternative methods of qualitative or quantitative detection of levels or amounts of differentially expressed polypeptide can be used, for example ELISA, western blot, immunoprecipitation, radioimmunoassay, etc.

Hepatic failure involves the systemic complications associated with severe liver injury and dysfunction. It may occur in a patient without pre-existing liver disease or may be superimposed on chronic liver injury. The diagnosis of acute liver failure requires the presence of symptoms, including jaundice and encephalopathy. Fulminant hepatic failure impairs all liver functions, causing decreased bilirubin metabolism, decreased clearance of ammonia and gut-derived proteins, and decreased clotting factor production. It may also cause kidney failure, shock, and sepsis. Without a liver transplant, more than 50% of patients will die, usually from a combination of the above conditions. Mortality exceeds 50%, even in the best circumstances. Management involves general supportive measures until the liver can regenerate and resume function. In acute liver failure without pre-existing disease, liver transplant can be life-saving.

The subject cells may be used for reconstitution of liver function in a recipient. Allogeneic cells may be used for stem/progenitor cell isolation and subsequent transplantation. Most of the clinical manifestations of liver dysfunction arise from cell damage and impairment of the normal liver capacities. For example, viral hepatitis causes damage and death of hepatocytes. In this case, manifestations may include increased bleeding, jaundice, and increased levels of circulating hepatocyte enzymes.

Liver disease has numerous causes, ranging from microbial infections and neoplasms (tumors) to metabolic and circulatory problems. Hepatitis involves inflammation and damage to the hepatocytes. This type of insult may result from infectious agents, toxins, or immunologic attack. However, the most common cause of hepatitis is viral infection. Three major viruses cause hepatitis in the United States: hepatitis viruses A, B, and C. Together, they infect nearly 500,000 people in the United States every year. In

addition, bacteria, fungi, and protozoa can infect the liver, and the liver is almost inevitably involved to some extent in all blood-borne infections.

Numerous medications can damage the liver, ranging from mild, asymptomatic alteration in liver chemistries to hepatic failure and death. Liver toxicity may or may not be dose-related. Tylenol (Acetaminophen) is an hepatotoxic drug; Dilantin (an anti-convulsant) and isoniazid (an anti-tuberculosis agent) are examples of drugs that can cause "viral-like" hepatitis. Both environmental and industrial toxins can cause a wide variety of changes in the liver. Hepatic damage is not necessarily dose-dependent and can range from mild, asymptomatic inflammation to fulminant failure or progressive fibrosis and cirrhosis.

Problems with metabolic processes in the liver can be either congenital or acquired. Some of these disorders, such as Wilson's disease and hemochromatosis, can present as hepatitis or cirrhosis. Wilson's disease is a rare inherited condition characterized by an inability to excrete copper into bile, resulting in the toxic accumulation of copper in the liver and nervous system. Hemochromatosis is an iron overload syndrome causing iron deposits and consequent damage to various organs, including the liver, heart, pancreas, and pituitary gland. The disease may be due to an inherited increase in gut absorption of iron or to multiple blood transfusions, since iron is normally found in circulating red blood cells.

The liver may be affected by numerous conditions, particularly autoimmune disorders, in which the immune system attacks the body's own normal tissues. Some examples include rheumatic diseases, such as systemic lupus erythematosus and rheumatoid arthritis, and inflammatory bowel diseases, such as ulcerative colitis and Crohn's disease.

EXPERIMENTAL

The following examples are put forth so as to provide those of ordinary skill in the art with a complete disclosure and description of how to make and use the subject invention, and are not intended to limit the scope of what is regarded as the invention. Efforts have been made to ensure accuracy with respect to the numbers used (e.g. amounts, temperature, concentrations, etc.) but some experimental errors and deviations should be allowed for. Unless otherwise indicated, parts are parts by weight, molecular weight is average molecular weight, temperature is in degrees centigrade, and pressure is at or near atmospheric.

All publications and patent applications cited in this specification are herein incorporated by reference as if each individual publication or patent application were specifically and individually indicated to be incorporated by reference. The citation of any publication is for its disclosure prior to the filing date and should not be construed as an

admission that the present invention is not entitled to antedate such publication by virtue of prior invention.

It is to be understood that this invention is not limited to the particular methodology, protocols, cell lines, animal species or genera, and reagents described, as such may vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to limit the scope of the present invention which will be limited only by the appended claims.

As used herein the singular forms "a", "and", and "the" include plural referents unless the context clearly dictates otherwise. Thus, for example, reference to "a cell" includes a plurality of such cells and reference to "the protein" includes reference to one or more proteins and equivalents thereof known to those skilled in the art, and so forth. All technical and scientific terms used herein have the same meaning as commonly understood to one of ordinary skill in the art to which this invention belongs unless clearly indicated otherwise.

Example 1

Hepatocyte Regeneration by Hematopoietic Stem Cell Transplantation

Materials and Methods

Mouse strains: The mouse strains Rosa26 (C57Blx129sv) (Zambrowicz *et al.* (1997) Proc Natl Acad Sci U S A 94, 3789-94), Rosa26/BA (C57Bl/Ka-Thy1.1), FAH-/- (129sv) were bred and maintained in the animal care facility at StemCells.

Staining of HSC: 3 to 6 months old mice were killed to obtain the long bones (two femur and two tibiae per mouse). Bone marrow cells were flushed from the long bones with PBS containing 2% fetal calf serum. Cells were stained as described previously (Spangrude *et al.* (1988) Science 241, 58-62). For KTLS cells isolated from Rosa26 (C57Bl/Ka-Thy1.1), the bone marrow cells were incubated with biotinylated mAb specific for Sca-1 (Pharmingen), then positively selected using the MACS magnetic bead system (Miltenyl Biotec, Auburn, CA). The positively selected cells were stained with phycoerythrin-conjugated lineage markers (Pharmingen), which included the following: RA3-6B2 (B220) for the B lineage marker; RM2-5 (CD2), GK1.5 (CD4), 53-7.3 (CD5), 53.6.7 (CD8) and 145-2C11 (CD3) for T cell markers; RB6-8C5 (GR-1) and M1/70 (CD11b, Mac-1) for myeloid markers; PK136 (NK1.1) for natural killer cells; and Ter119 for erythrocytes. The positively selected cells were also stained with fluorescein-conjugated 19XE5 (Thy1.1).

allophycocyanin-conjugated 2B8 (c-kit, Pharmingen) and Streptavidin-Cy7APC (Sav-PharRed, Pharmingen). After the final wash, cells were resuspended in a PBS/FCS buffer that contained propidium iodide (PI, 1mg/ml) to discriminate between viable and nonviable cells.

5

Purification of HSC: Adult bone marrow cell preparations were analyzed by multi-parameter flow cytometry. Isolation of HSC was accomplished using a fluorescence activated cell sorter (FACS™) manufactured by Becton Dickinson Immunocytometry Systems. Specifically, the FACS/antige SE is configured with argon, krypton, and Helium-Neon ion. Computer assisted high speed data acquisition systems allow the collection of up to nine independent data parameters from each single cell. Data parameters were collected in the list mode data file and were analyzed by the software program Flowjo (www.Treestar.com). Pure populations of sorted HSC were resorted directly into eppendorf tubes by an automated cell deposition unit using counter mode. Cells for each group of animal injected were prepared in eppendorfs as follow: 50, 250, 500 and 5 000 HSCs each for a group of 5 mice, respectively. 10^6 total congenic bone marrow cells from adult FAH-/- female mice were added per eppendorf for a radioprotective dose of 2×10^5 recipient type bone marrow cells per irradiated FAH-/- mouse. Cells were injected into the retro-orbital plexus of anesthetized mice. One 0.5ml insulin syringe was used per group of mice to be injected. 100 micro-liters of cells were injected per mouse.

20

Transplantation procedure: The FAH recipient mice is an animal model of hereditary tyrosinemia type 1 (FAH) which has been previously described (Grompe et al. (1995) Nat Genet 10, 453-60). Mice were lethally irradiated with a total dose of 1200 rads in a split dose with 3 hours interval. One day later, cells were injected intravenously into the retro-orbital plexus of anesthetized mice using insulin syringes (Becton Dickinson, Franklin Lakes, NJ). All the experimental FAH mice were treated with 2(2-nitro-4-trifluoromethylbenzoyl)-1,3 cyclohexane dione (NTBC) containing drinking water before and for the next 2 months after the irradiation procedure. To evaluate the level of reconstitution, peripheral blood was collected 2 months after the transplantation and samples were monitored for donor-marked cells (Rosa26 beta-galactosidase positive cells) and for specific lineage markers (B220 for B cells, CD3 for T cells and Mac-1 and GR-1 for myeloid cells). Two weeks after the bleeding, NTBC was discontinued to permit positive selection of hepatocytes to occur in the liver. The weight of experimental animals was monitored weekly and NTBC added back to the water when the mouse weight was reaching under 20 grams for an adult mouse.

35

Histology and Immunohistology: Liver was embedded in OCT and frozen in liquid nitrogen. Serial sections of 5 and 10 micron-thick were stained histochemically for beta-galactosidase and immunohistochemically with the polyclonal rabbit anti-FAH antibody. For some samples, the median lobe of the liver was fixed in 4% paraformaldehyde at 4° C overnight and stained for beta-galactosidase.

5

Detection of beta-galactosidase: Fluorescein di-beta-D-galactopyranoside (FDG, Molecular Probe) was used as the fluorogenic substrate to detect beta-galactosidase by flow cytometry. 5-bromo-4-chloro-3-indolyl-beta-D-galactopyranoside (X-Gal) was used as the substrate to detect beta-galactosidase in sections.

10

Fluorescent In Situ Hybridization (FISH): For performing FISH on cryostat sections, 5 μ m sections of the targeted tissue are prepared and stored unfixed at -80° C. When ready to begin the FISH procedure, the sections are thawed to room temperature, fixed 3 times in Carnoy's Fixative for 10 minutes each and allowed to air dry at room temperature. The sections are then pre-treated at 37° C for 30 minutes in preheated 2xSSC Buffer pH 7.0. After pretreatment, serial ethanol dehydration (70%-70%-90%-100%) is done for 1.5 minutes each and again the slides air-dry at room temperature. Specific denaturing conditions are established for each type of probe and are important to ensure proper hybridization. In this case, the slides are denatured in preheated 70% Formamide/2x SSC Buffer pH 7.0 at 65° C for 2 minutes. The slides are then immediately quenched with ice cold 70% ethanol for 1.5 minutes. Serial ethanol dehydration is done again as described above and the slides are air-dried. The Cambio STAR-FISH Mouse-Y chromosome FITC labeled probe is prepared ahead of time by thawing the tube to 37° C. The appropriate aliquot removed is then denatured at 65° C for 10 minutes and kept at 37° C until ready to apply to the slides. Again, as with the denaturing conditions, the preparation of the probe is specific for each probe type. The prepared probe is applied to the air-dried slides while on the slide warmer set at 45° C. The slides are coverslipped and sealed with rubber cement for incubation overnight in a hydrated slide box at 42° C. The following day, the coverslips are carefully removed in preheated 2x SSC Buffer pH 7.0 at 45° C. The slides are then stringently washed twice in preheated 50% Formamide/2x SSC Buffer for 5 minutes each at 45° C and then gently washed twice in preheated 0.1x SSC Buffer for 5 minutes each at 45° C. The appropriate detection and/or counterstain protocols, included with each probe, should be followed to view the hybridization under a fluorescent microscope. In this case, a directly labeled probe is used and only counterstaining with Hoechst and/or Propidium

35

iodide is necessary. For performing FISH on cell drop preparation, slides were treated following the Cambio protocol.

The experimental data provided herein addresses whether bone marrow-derived cells are heterogeneous in nature and contain several type of stem cells or progenitors cells for different tissues or alternatively whether bone marrow-derived cells may be homogenous in nature with HSCs retaining the capacity to differentiate into other tissue types under the appropriate conditions. Further, it is determined whether HSC provide for liver repopulation in the form of regenerative hepatic nodules, which is the hallmark of functional hepatocytes repairing damaged or diseased liver and would have major implications for the use these cells for gene and/or cell therapy.

It was tested if highly purified HSCs could give rise to hepatocytes in the FAH-/- mouse, an animal model of hereditary tyrosinemia type 1. These mice suffered from a severe autosomal recessive metabolic disease which affects the liver and kidneys and which is caused by deficiency of fumarylacetoacetate hydrolase (FAH). Treatment of mice homozygous for the FAH gene disruption (FAH-/-) with 2-(2-nitro-4-trifluoro-methylbenzyl)-1,3-cyclohexanedione (NTBC) abolished neonatal lethality and correct liver and kidneys functions. We used FAH-/- mice as recipient for the engraftment of HSCs because this model allows a strong growth advantage of wild-type hepatocytes to repopulate mutant liver (Overturf, *et al.* (1996) Nat Genet 12, 266-73).

Result and Discussion

HSCs were isolated from the bone marrow of normal adult male Rosa26/BA mice by fluorescence-activated cell sorting (FACS) (Figure 1). These HSCs, also termed KTLS for the markers c-kit^{high}Thy^{lo}Lin^{neg}Sca1⁺, are c-kit high, Thy1.1 low, lineage marker (CD2, CD3, CD4, CD5, CD8, NK1.1, B220, Ter119, GR-1 and Mac-1) negative to low, and Sca-1 positive. Sorted HSCs were stained for CD45, the leukocyte common antigen (LCA) also known as LY-5 or T200, found on all cells of hematopoietic origin, except erythrocytes. Its presence distinguishes leukocytes from non-hematopoietic cells. CD45 was detected on all sorted KTLS cells from Rosa26/BA mice indicating that the HSCs population isolated is hematopoietic in its origin.

10, 50, 100 or 1000 (KTLS) HSCs were injected intravenously into lethally irradiated adult female FAH-/- mice with 2x10⁵ FAH-/- congenic adult female bone marrow cells as a radioprotective dose. NTBC was kept in the drinking water for the first 2 months of the experiment because it was known from previous experiments that lethally irradiated FAH-/- mice will die rapidly of acute liver failure if NTBC is withdrawn just after irradiation. Two

20

Table 1

Analysis of blood cells 2 Months after Transplantation

Number of cells injected	Survival	% of Rosa26 Positive Cells	
		Total Blood	Neutrophils
10 HSC from Rosa 26 male mice + 2x10 ⁵ Bone Marrow from FAH -/- Female Mice	Dead 8/17/99	1.04	0.44
	F#597		
	F#598	2.01	0.98
	F#599	0.51*	0.13*
	F#600	0.18*	0.03*
	F#601		
50 HSC from Rosa 26 male mice + 2 x 10 ⁵ bone marrow from FAH -/- female mice		6.80	6.14
	F#602		
	F#603	2.85	6.23
	F#604	0.23*	0.20*
	F#605	3.10	3.87
	F#606	3.27	2.98
100 HSC from Rosa 26 male mice + 2 x 10 ⁵ bone marrow from FAH -/- female mice		0.89	0.66
	F#607		
	F#608	10.33	16.23
	F#609	7.66	2.85
	F#610	18.11	1.06
	F#611	16.58	4.05
1000 HSC from Rosa 26 male mice + 2 x 10 ⁵ bone marrow from FAH -/- female mice	Dead 8/23/99		
	F#612		
	F#613		
	F#614	58.76	73.59
	F#615	32.71	42.26
	F#616	42.15	39.34

Hematopoietic donor-derived cells were detected in blood, spleen and bone marrow by FACS using the FDG fluorogenic substrate. Numbers are presented as % of nucleated donor-derived cells found in the tissue and correspond to an average of 2 samples. 3 mice died in the first 2 months and were not analyzed. * indicates no detectable engraftment. B, T, M stand for B cells, T cells and Myeloid cells and were identify by B220, CD3 and GR-1 + Mac-1 antibodies in combination with FDG staining. * These mice died after their cage flooded. For liver engraftment, + stands for the identification of donor-derived hepatocytes.

21

Table 2.
Detection of donor-derived cells 6 months after transplant

Donor Cell Type	Number of Cells Transplanted + 2x10 ⁶ FAH ⁺ BM	Hematopoiesis				Liver	
		Blood	Spleen	BM	Nodules	Hepatocyte s	
c-kit +	20,000	13.3 B.T.M	52.0 B.T.M	44.7	35	+	
c-kit -	135,000	<1	<1	<1	2*	-	
Lin +	205,000	1.5 B.T	2.9 B	2.6	7*	-	
Lin -	20,000	33.7 B.T.M	56.5 B.T.M	34.0	> 70	+	
Sca-1	23,000	28.7 B.T.M	13.8 B.T.M	75.4	55	+	
Sca-1 -	224,000	3.5 B.T.M	8.2 B.T.M	3.5	12	+	

Detection of donor-derived cells 6 months after transplantation. Numbers are presented as % of nucleated donor-derived cells and correspond to an average of 2 samples. <1 correspond to no detectable engraftment. B, T, M stand for B cells, T cells and Myeloid cells and were identity by B220, CD3 and GR-1 + Mac-1 antibodies in combination with FDG staining. For liver, 25 serial sections per donor cell type were scanned and the numbers represent X gal positive hepatocytes counterstained with Hoechst.

During the next 4 months, positive selection was applied twice to the FAH^{-/-} mice by removing NTBC from the drinking water. NTBC was added back to all experimental animals if the weight of a mouse fell too low. Mice surviving the treatment were sacrificed after the second selection (6 months post HSC transplant). Bone marrow, blood and spleen were analyzed as single cell suspension by FACS for multilineage reconstitution (B, T and myeloid lineages) of the hematopoietic system (Table 1 and Figure 2). This analysis confirm that the hematopoietic system from all the surviving host FAH^{-/-} mice were engrafted long term with male Rosa26 HSCs. It is interesting to note that we have engrafted HSCs across minor histocompatibility barriers.

For hepatic engraftment, the degree of repopulation achieved was monitored by several criteria. The whole median lobe of the liver of most experimental animals was fixed and stained to detect any macroscopic nodules. For the rest of the liver, serial sections were analyzed for donor-derived hepatocytes by the following criteria: the presence of beta-galactosidase positive cells by histochemical staining, the expression of FAH protein within the hepatocytes by immunostaining and the appearance of male donor cells by fluorescent *In Situ* Hybridization (FISH) of Y chromosome. Nodules of X-gal positive activity were detected in liver of mice injected from 50 to 1000 HSCs. Nodules were small and discrete from 50 hepatocytes to large with over 10⁵ hepatocytes. Liver histology demonstrate X-gal positive hepatocytes in the nodules analyzed. Frozen section analysis of serial sections shows a co-expression of FAH with beta-galactosidase in the repopulating hepatocytes. Furthermore, these nodules were also shown to contain Y-chromosome positive nuclei.

The finding that hepatocytes are X-gal⁺ positive, co-expressed FAH protein and are Y chromosome positive indicate that they are derived from the donor HSCs. In addition, the clustering and regional replacement of the diseased parenchyma by HSC-derived hepatocytes demonstrates the potential role HSCs could have in cell therapy of the liver.

In a second set of experiment, it was tested whether HSC markers c-kit^{high} or Lin^{neg} or Sca1⁺ cells are the only cells in the bone marrow that contain the hepatic progenitors. To avoid excluding any cell populations, bone marrow was divided among c-kit⁺ versus c-kit⁻ pools, Lin⁺ versus Lin⁻ pools and Sca1⁺ versus Sca1⁻ pools using flow cytometry (Figure 3). If hepatic progenitors were expressing these antigens uniformly, hepatic engraftment would be enriched in one fraction and correspondingly depleted in the other. As for the previous experiment with HSC, Rosa26 bone marrow subpopulations were injected intravenously into lethally irradiated FAH^{-/-} mice along with 2x10⁵ FAH^{-/-} congenic adult female bone marrow as a radioprotective dose. One month later NTBC was removed from the drinking water and twice during the 4 next months, positive selection was applied similarly to the above experiment with HSCs. Only one of the mice for each group survived the positive selection. Mice were sacrificed and hematopoietic and hepatic engraftment evaluated. For hematopoiesis, blood, spleen and bone marrow cells were analyzed for donor cells (Figure 3). For the liver engraftment, 25 serial sections of 10 micron each were analyzed and X-gal positive donor hepatocytes counted. c-kit⁻ cells (representing 92.3% of WBM), Lin⁺ cells (representing 93.4% of WBM) and Sca1⁺ (representing 95.8% of WBM) did not contribute significantly to long-term multi-lineage reconstitution in a previous reported study and did not provide an enrichment in hepatocyte engraftment. Long-term multi-lineage reconstitution and hepatocyte engraftment was the property of markers c-kit⁺ (7.7% of WBM), Lin⁻ (6.6% of WBM) and Sca1⁺ (4.2% of WBM) cells. c-kit^{neg}, Lin^{pos}, Sca1⁺ cells represents 99.9% of the bone marrow and do not possess stem cell activity (hematopoietic or hepatic). Only when HSCs engrafted with long-term multi-lineage reconstitution was hepatocyte engraftment seen.

The data demonstrate that the same HSCs which give rise to the hematopoietic system in these mice also have the plasticity to give rise to hepatocytes. It is shown that bone marrow cells can rescue a metabolic disorder of the liver by regenerating hepatocytes. As few as 50 HSCs can engraft both the hematopoietic and the hepatic compartments. Finally it is shown that only the HSCs fraction of the bone marrow have the plasticity to give rise to hepatocytes.

Example 2

Enhanced biopotency for differentiation of HSC into hepatocytes with mobilized adult blood

Treatment with a wide variety of chemotherapeutics or cytokines leads to an increase in the frequency of hematopoietic progenitor cells in the peripheral blood. Cyclophosphamide (CY) and granulocyte colony-stimulating factor (G-CSF) treatment of mice increases the fraction of bone marrow HSC in S-phase of the cell cycle, leading to an expansion of the number of bone marrow HSC prior to mobilization into the peripheral blood. Mobilized HSC tended to be in G0/G1 phase, are less efficient than normal bone marrow multipotent progenitors in hematopoietic engraftment of irradiated mice, but do not differ in colony forming unit-spleen (CFU-S) activity or single cell in vitro assays of primitive progenitor activity. KTLS HSC (using the markers as described in Example 1) were isolated from ROSA26/BA mice treated with CY and G-CSF by flow cytometry after Sca-1 enrichment using a MACS column (Miltenyi Biotec). 700 sorted HSC were injected intravenously into lethally irradiated adult female FAH⁺ mice with (10 mice) and without (10 mice) 2x10⁵ FAH⁺ congenic adult bone marrow cells. NTBC was kept in the drinking water for the first 2 months of the experiment.

Two months after mobilized KTLS HSC transplantation, nucleated blood cells of the experimental animals were tested for hematopoietic engraftment as described above. All the animals were engrafted at 2 months with 700 mobilized KTLS HSC. The animals injected with only 700 HSC were over 90% engrafted while the animal injected with 700 mobilized KTLS HSC and congenic bone marrow had a lower level of engraftment. Positive selection was applied once to the FAH⁺ mutant liver by removing NTBC from the drinking water and restarting the drug when total body weight decreased by more than 30%. Several mice were sacrificed after this first selection (3 months after HSC transplantation). Bone marrow, blood and spleen were analyzed as single cell suspensions by FACS for donor-specific multilineage reconstitution (B, T and myeloid lineages) of the hematopoietic system. This analysis confirmed that the hematopoietic systems of all the analyzed host FAH⁺ mice were engrafted long-term with donor male ROSA26 HSC. The degree of hepatic engraftment achieved was monitored by several methods. Serial sections were analyzed for donor-derived hepatocytes by the following criteria: the presence of beta-galactosidase positive cells by histochemical staining and the expression of FAH enzyme within the hepatocytes by immunostaining. It was found that HSC-derived hepatocytes were present in most animals analyzed. Interestingly, hepatic engraftment was much more rapid than in previous experiments using adult bone marrow HSC. With adult bone marrow HSC, HSC-derived hepatocytes could only be scored in sections 6 months after

transplantation. Strikingly, hepatic engraftment was evident 3 months after transplantation of mobilized KTLS HSC.

Methods

Briefly, mice (ROSA26/BA) were injected i.p. with 4mg of CY (~200mg/kg) and then on four successive days with 5 micrograms of human G-CSF (~250 microg/kg per day) administered as a single daily s.c. injection. Mouse blood was collected 1 day after the last G-CSF injection and mobilized HSC were isolated by MACs selection (Sca1 positive selection) and cell sorting.

Example 3

HSC are "natural" progenitors for hepatocytes

These experiments address the question of whether prior irradiation affects hepatocyte engraftment. One possibility could be that marrow ablation resulting from irradiation provides an environment permissive for the expression of HSC plasticity. We asked if HSC could give rise to hepatocytes in the absence of marrow ablation. To engraft HSC without irradiation and create a chimeric hematopoietic system, we injected (intracardiac) immunodeficient (RAG/FAH) newborn mice with wild type bone marrow cells (Figure 4). Two months after cell injection, nucleated blood cells of the experimental animals were tested for multilineage hematopoietic engraftment. Most of the animals were engrafted at 2 months. During the next 6 to 8 months, positive selection of the engrafted cells was applied to the FAH⁺ mutant liver by removing NTBC from the drinking water and restarting the drug when total body weight decreased by more than 30% (see Figure 5). Surviving mice were sacrificed after five rounds of selection (8 months after HSC transplantation) and the livers were analyzed for HSC-derived hepatocytes. A large number of hepatic nodules contained FAH-positive hepatocytes. Interestingly, HSC-derived hepatocytes were found around blood vessels, and were indistinguishable from primary adult hepatocyte-derived nodules.

Methods:

Bone marrow cells in 50-200 µl were directly injected into the heart with a 1/2 cc insulin syringe and 281/2-gauge needle. Upon removing the needle, pressure was applied to the injection site to prevent bleeding and cell leakage.

WHAT IS CLAIMED IS:

1. A method for providing differentiated non-hematopoietic cells to a host animal, the method comprising:
introducing into said host animal a cell population comprising pluripotent hematopoietic stem cells, wherein said hematopoietic stem cells home to a site of non-hematopoietic tissue and give rise to differentiated cells.
2. The method of Claim 1, wherein said hematopoietic stem cells are characterized as Thy-1⁺.
3. The method of Claim 2, wherein said hematopoietic stem cells are further characterized as lin^{neg}.
4. The method of Claim 3, wherein said cell population is at least about 50% hematopoietic stem cells.
5. The method of Claim 3, wherein said cell population is at least about 75% hematopoietic stem cells.
6. The method of Claim 1, wherein said hematopoietic stem cells are mouse cells.
7. The method of Claim 6, wherein said stem cells are c-kit⁺.
8. The method of Claim 6, wherein said stem cells are sca-1⁺.
9. The method of Claim 1, wherein said hematopoietic stem cells are human cells.
10. The method of Claim 9, wherein said stem cells are CD34⁺.
11. The method of Claim 9, wherein said stem cells are AC133⁺.
12. An *in vitro* cell culture, comprising non-hematopoietic cells generated from a cell population comprising hematopoietic stem cells.

13. The *in vitro* cell culture of Claim 12, wherein said non-hematopoietic cells are endodermal cells.
14. The *in vitro* cell culture of Claim 12, wherein said non-hematopoietic cells are ectodermal cells.
15. The *in vitro* cell culture of Claim 12, wherein said hematopoietic stem cells are characterized as Thy-1⁺.
16. The *in vitro* cell culture of Claim 12, wherein said hematopoietic stem cells are further characterized as lin^{neg}.
17. The *in vitro* cell culture of Claim 12, wherein said hematopoietic stem cells are mouse cells.
18. The *in vitro* culture of Claim 12, wherein said hematopoietic stem cells are human cells.
19. A method of screening for genetic sequences specifically expressed in hematopoietic stem cells cultured under conditions that maintain pluripotency, the method comprising:
isolating RNA from an *in vitro* cell culture comprising hematopoietic stem cells wherein said cultured cells retain the ability to differentiate into multiple non-hematopoietic lineages;
generating a probe from said RNA,
screening a population of nucleic acids for hybridization to said probe.
20. A method of screening for genetic sequences specifically expressed in hematopoietic stem cells cultured under conditions that induce differentiation into non-hematopoietic lineages, the method comprising:
isolating RNA from an *in vitro* cell culture comprising hematopoietic stem cells wherein said cultured cells differentiate into non-hematopoietic lineages;
generating a probe from said RNA,
screening a population of nucleic acids for hybridization to said probe.

21. The method of Claim 19, further comprising a comparison of the hybridization obtained between said hematopoietic stem cells cultured under conditions that maintain pluripotency, and under conditions that induce differentiation into non-hematopoietic lineages.

5

22. The method of Claim 21, wherein said population of nucleic acids is represented in an array.

23. A chimeric mouse, comprising:
functional regenerating non-hematopoietic cells generated from a cell population comprising human hematopoietic stem cells.

24. The chimeric mouse of Claim 23, wherein said non-hematopoietic cells are hepatocytes.

15

25. The chimeric mouse of Claim 23, wherein said mouse is an FAH^{-/-} mouse.

26. The chimeric mouse of Claim 23, wherein said mouse is irradiated prior to introduction of said human hematopoietic stem cells.

20

27. The chimeric mouse of Claim 23, wherein said mouse is not irradiated prior to introduction of said human hematopoietic stem cells.

28. A method of screening for agents that affect the growth or differentiation of hematopoietic stem cells grown under non-hematopoietic cell generating conditions, the method comprising:

contacting the chimeric mouse of Claim 23 with a candidate agent, and
determining the effect of said agent on the viability, growth, or differentiation of said hematopoietic stem cells.

30

29. The method according to Claim 28, wherein said agent is a drug suspected of toxicity on human cells.

30. The method according to Claim 28, wherein said agent is a human virus.

35

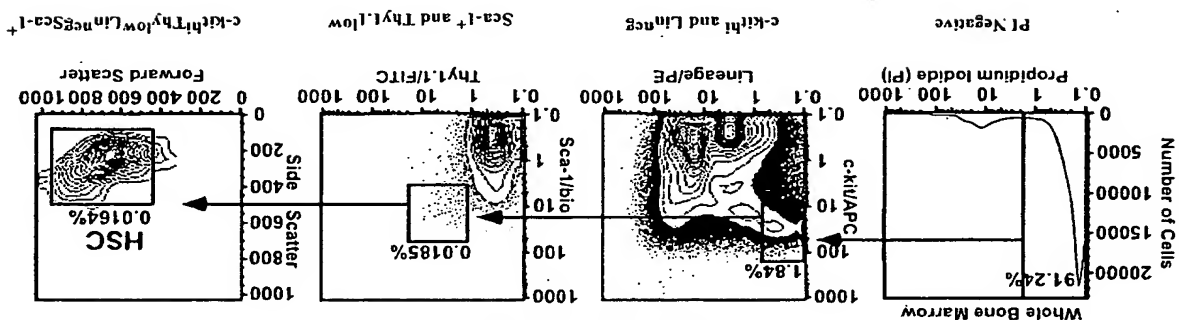
28

29

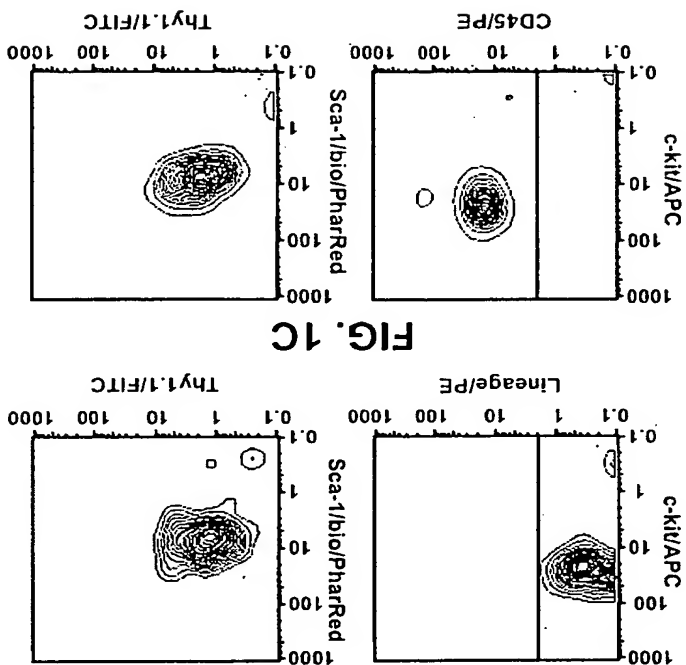
31. The method according to Claim 28, wherein said agent is a vaccine against a human virus.

32. The method according to Claim 28, wherein said agent is an anti-viral agent.

FIG. 1A
Mouse Adult Bone Marrow (Ros26/BA)
Sorting Protocol



Reanalysis of Sorted HSC
 c-kit^{hi}/Thy1^{low}/Lin^{neg}/Sca-1⁺
 + CD45 Staining
 Reanalysis of Sorted HSC
 Thy1^{low}/Lin^{neg}/Sca-1⁺/c-kit^{hi}
 + CD45-PE Staining



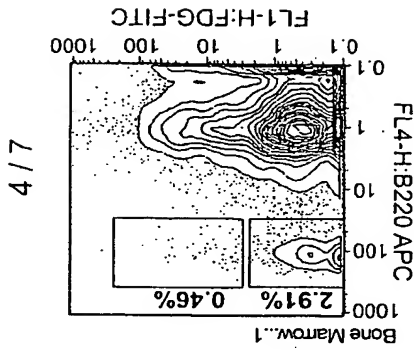
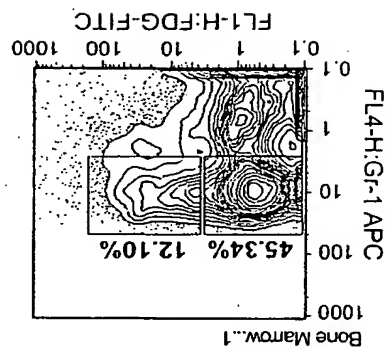
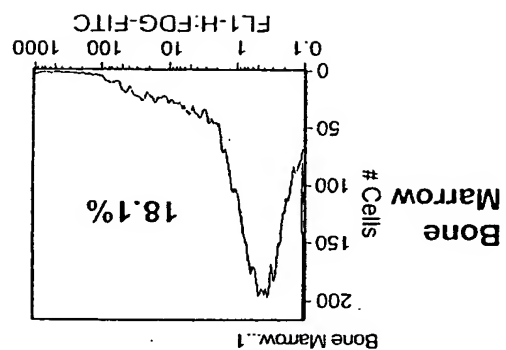


FIG. 2C

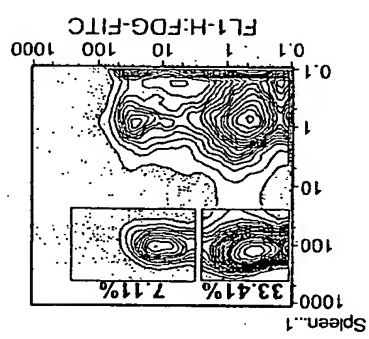
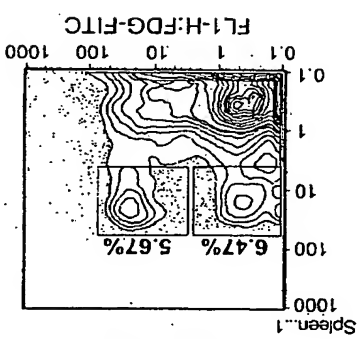
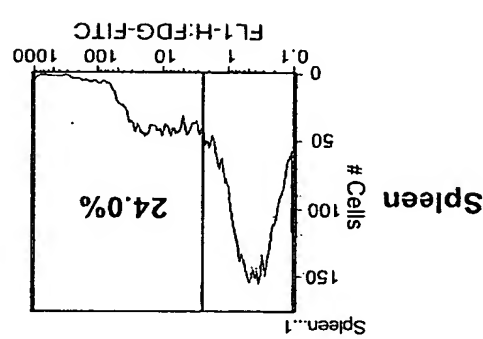


FIG. 2B

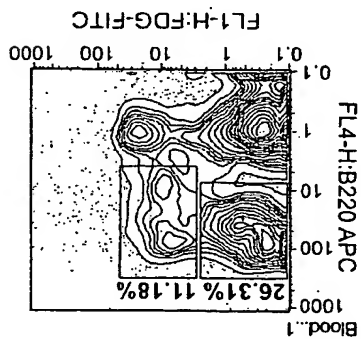
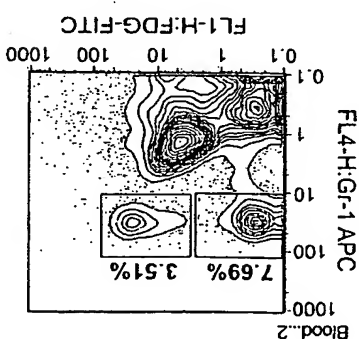
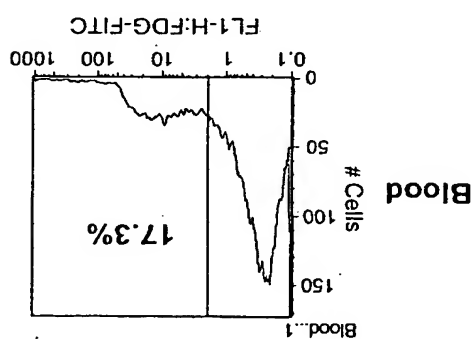


FIG. 2A

L / 4

L / 3

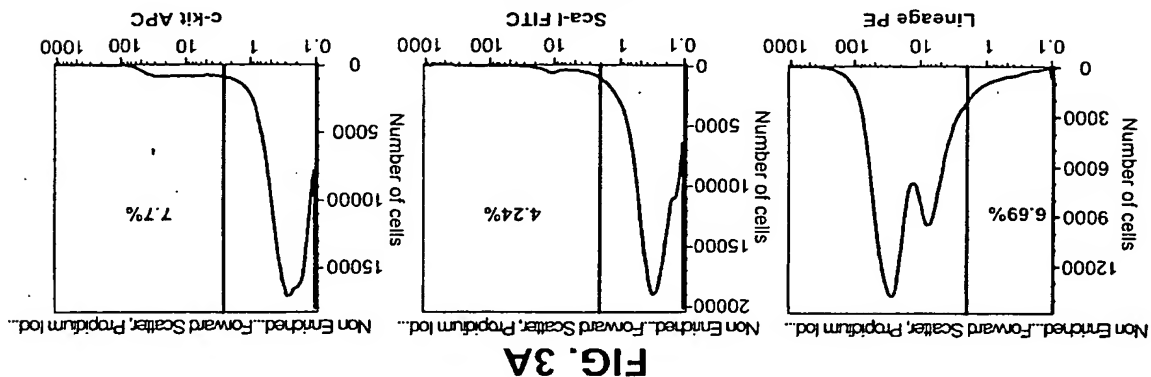


FIG. 3A

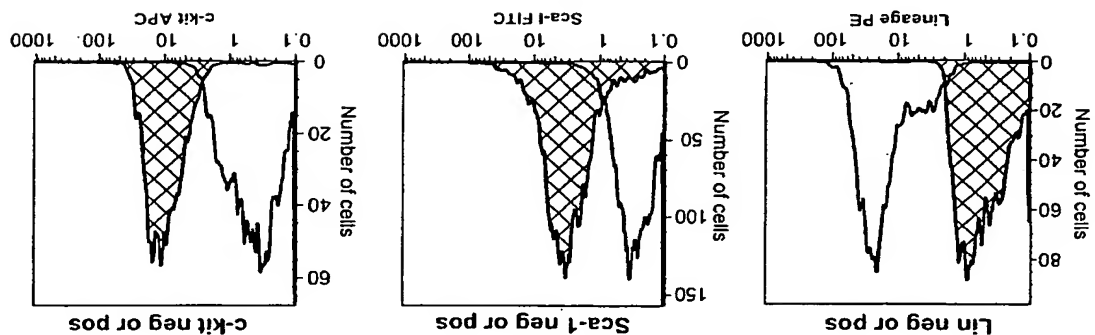


FIG. 3B

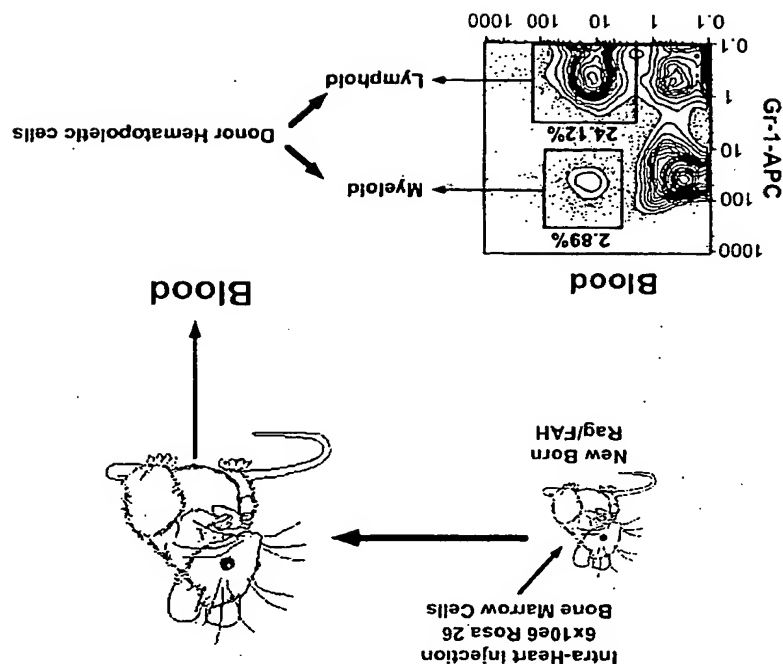


FIG. 4

7/7

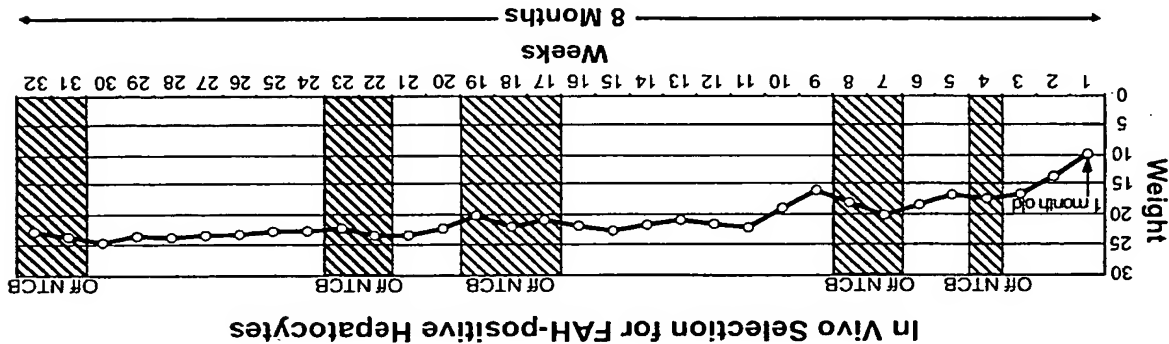


FIG. 5

In Vivo Selection for FAH-positive Hepatocytes

INTERNATIONAL SEARCH REPORT

International application No. PCT/US01/09460	
A. CLASSIFICATION OF SUBJECT MATTER IPC(7) : C12N 15/85; C12Q 1/68; A61K 35/00 US CL : 435/325, 6; 424/93.21, 93.1; 800/ 8 According to International Patent Classification (IPC) or to both national classification and IPC	
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) U.S. : 435/325, 6; 424/93.21, 93.1; 800/ 8 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Please See Extra Sheet.	
C. DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of documents, with indication, where appropriate, of the relevant passages Relevant to claim No.
Y	UCHIDA, N. et al. The unexpected G0/g1 cell cycle status of mobilized hematopoietic stem cells from peripheral blood. January 1997. Blood. Vol. 89, No. 2, pages 465-472, see whole document. NONE1-32
Y	BLAKOLMER, K. et al. Hematopoietic stem cell markers are expressed by ductal plate adn bile duct cells in developing human liver. June 1995. Hepatology. Vol. 21, No. 6, pages 1510-1516, see whole document. 1-32
Y	IGUCHI, T. et al. HGF activates signal transduction from EPO receptor on human cord blood CD34+/CD45+ cells. 1999. Stem Cells. Vol. 17, No. 2, page 82, abstract. 1-32 1-3
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.	
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "B" earlier document published on or after the international filing date "L" document which may have priority claim(s) or which is cited to establish the publication date of another document or other special reason (to specify) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "A" document member of the same patent family "T" later document published after the international filing date or priority date and in conflict with the international search report "X" document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance, the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "A" document member of the same patent family	
Date of the actual completion of the international search 04 JUNE 2001	
Date of mailing of the international search report 22 JUN 2001	
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230 Authorized officer ANNE MARIE S. BECKERLEG Telephone No. (703) 304-0196 Form PCT/ISA/210 (second sheet) (July 1998) *	

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US01/09460

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

DIALOG; Medline, Embase, Scisearch, Biosis, Cancerlit; BRS-EAST; USPAT, Derwent, EPO, JPO

search terms: hematopoietic stem cells, lin negative, thy-1, sca-1, cd34, ac133, differential display, hepatocytes

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☒ BLACK BORDERS

☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

☒ FADED TEXT OR DRAWING

☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING

☐ SKEWED/SLANTED IMAGES

☒ COLOR OR BLACK AND WHITE PHOTOGRAPHS

☐ GRAY SCALE DOCUMENTS

☐ LINES OR MARKS ON ORIGINAL DOCUMENT

☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.